IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

PIONEER KABUSHIKI KAISHA d/b/a)		(3)	i ,
PIONEER CORPORATION,)			
)	Opposition No. 125,458	ć:	2
Opposer,)		(3)	
••)	Mark: SUPERSCAN ELI	TE	
v.)	Serial No.: 76/208,230		
)	Published: March 19, 200	2	
NISSEI SANGYO AMERICA, LTD. n/k/a)			
HITACHI HIGH TECHNOLOGIES AMERICA,)			
INC.,)			
Applicant.)			
**	•	07-0	าล-2003	

MOTION FOR SANCTIONS, INCLUDING DISMISSAL, PURSUANT TO 37 CFR §2.120(g)(2)

Applicant Hitachi High Technologies America, Inc. ("Applicant"), moves for sanctions pursuant to 37 CFR §2.120(g)(2) against Opposer, Pioneer Kabushiki Kaisha d/b/a Pioneer Corporation ("Pioneer"). Since the commencement of this Opposition proceeding, Pioneer has engaged in a campaign of harassment in conducting its discovery (described below). When the time came for Pioneer to produce its own witnesses for depositions, Pioneer failed to produce its witnesses and failed to provide any reasonable excuse. Pioneer cavalierly refused to appear even though Applicant's counsel had traveled from Chicago to Los Angeles to take the depositions. Such conduct was obviously part of Pioneer's campaign of "hard-ball" litigation, and should not be tolerated by the Board.

Though the Board does not have authority to hold Pioneer in contempt or award fees and expenses, it does have broad powers under 37 CFR § 2.120(g)(1) and (2) to deal with Pioneer's conduct. For the reasons set forth below, Applicant requests that the Board impose the most serious sanction, namely, judgment against Pioneer in the Opposition proceeding. Alternatively,

Applicant requests that Pioneer be required to present its witnesses in Chicago for their depositions and that Pioneer be barred from any further discovery, since discovery closed on July 6, 2003.

Pioneer's Failure To Appear

- 1. Applicant properly served a notice for the deposition of Pioneer Corporation upon Pioneer's counsel pursuant to Fed. R. Civ. P. 30(b)(6) via overnight delivery on June 5, 2003. Applicant also properly served a notice for the deposition of Craig McMannis, an employee of Pioneer, on the same date. (McGrath Decl. ¶ 2). Copies of these notices of deposition are attached hereto and marked as Exhibits A and B.
- 2. The deposition notices state that the depositions were to be conducted on July 2, 2003, and were to begin at 9:00 a.m., at 16890 Ventura Boulevard, Suite 315, Encino, California 91436. Discovery in this matter was scheduled to close on July 6, 2003, so it was important that the depositions occur on the appointed date.
- 3. Pioneer's attorney, Robert Skousen, was aware that the 30(b)(6) deposition of Pioneer and the deposition of Mr. McMannis were scheduled for July 2, 2003. On at least two occasions in the two weeks prior to July 2, Mr. Skousen orally confirmed to Applicant's counsel, William T. McGrath, that depositions would take place as scheduled on July 2. (McGrath Decl. ¶ 3).
- 4. On Monday, June 30, 2003, at approximately 4:00 p.m. CST, Mr. McGrath spoke with Mark Bush, an attorney from Mr. Skousen's office. Mr. McGrath was following up on several prior inquiries about whether Pioneer had any further documents to produce before the depositions. Mr. Bush was uncertain whether any more documents would be produced, but said he would try to find out. Mr. McGrath asked Mr. Bush to respond promptly because Mr.

McGrath would be leaving the office at about 5:15 p.m. and would be traveling to California the next day, Tuesday, July 1, 2003. In that conversation, Mr. Bush made no mention of the fact that Pioneer would not produce its witnesses as scheduled for July 2. (McGrath Decl. ¶ 4).

- 5. Mr. Bush did not call Mr. McGrath back before the end of the day. When Mr. McGrath left his office at 5:15 p.m., neither Mr. Skousen nor Mr. Bush had given any indication that the depositions might not or would not proceed on July 2. (McGrath Decl. ¶ 4).
- 6. Mr. McGrath departed Chicago for California first thing in the morning on July 1, 2003, intending and prepared to conduct the noticed depositions at the scheduled place and time.
- The Unbeknownst to Mr. McGrath, Pioneer's counsel in California had, after the close of business the evening before, sent a letter by facsimile to Mr. McGrath's office stating that Pioneer "will not be attending the depositions scheduled for July 2, 3003." A copy of the letter is attached hereto and marked as Exhibit C. The letter was sent after 5:00 p.m. California time, and arrived in Applicant's counsel's office at 7:07 p.m. CST. Mr. McGrath was not aware of this letter prior to departing for California. (McGrath Decl. ¶ 5). A copy of the fax log for Applicant's counsel's office, showing the transmission at 7:07 p.m. CST is attached hereto and marked as Exhibit D.
- 8. After arriving in Los Angeles on July 1, 2003, Mr. McGrath learned of the cancellation of the depositions and spoke by telephone twice to Pioneer's counsel, Mr. Skousen. In each of these conversations Mr. McGrath insisted that the depositions proceed on July 2. Mr. Skousen stated he did not learn of the unavailability of the witnesses until approximately 4:30 p.m. (P.S.T.) on June 30, 2003. Mr. Skousen represented unequivocally that Pioneer would not be producing any witnesses at the deposition, stating that the witnesses were "out of the state." No reasonable explanation was provided as to why they were out of state on the day their

deposition was scheduled, or why Pioneer did not inform anyone about this unavailability sooner. (McGrath Decl. ¶ 6).

- 9. On July 2, 2003, at the time and place scheduled for the depositions, counsel for Applicant appeared. While Mr. Skousen was present, neither Mr. McMannis nor Pioneer's 30(b)(6) designee appeared. (McGrath Decl. ¶ 7). Copies of the affidavits of non-appearance are attached hereto as Exhibits E and F.
- 10. Prior to learning on July 1 that neither Mr. McMannis nor Pioneer's 30(b)(6) designee would be produced for their July 2 depositions, Applicant and its counsel had no reason to believe that the depositions would not occur as noticed.
- 11. The cancellation of the scheduled depositions has resulted in prejudice to Applicant that may only be remedied by appropriate sanctions being entered by the Board.
- 12. Specifically, Applicant has been unable to discover facts that would be essential to its defense in this matter.
- 13. The cancellation of the depositions by Pioneer with such short notice and without excusable cause resulted in substantial expense and inconvenience to Applicant. Counsel for Applicant was required to spend many hours travelling to the site of the depositions, which necessitated two full days away from the office during a busy period of time immediately preceding a national holiday.
- 14. Pursuant to 37 CFR 2.120(g)(2) and TBMP 527.02, "if a party, or an officer, director, or managing agent of a party, or a person designated under [Fed. R. Civ. P. 30(b)(6)] to testify on behalf of a party, fails to attend the party's or person's discovery deposition, after being served with proper notice . . . and such . . . party's attorney informs the party seeking discovery that no response will be made thereto, the Board may make any appropriate order, as specified in

[37 CFR 2.120](g)(1)." Subsection (g)(1) authorizes the Board to make "any appropriate order, including any of the orders provided in Rule 37(b)(2) of the Federal Rules of Civil Procedure" (except contempt or expenses). Rule 37(b)(2) permits, among other things, "an order ... dismissing the action or proceeding or any part thereof, or rendering a judgment by default against the disobedient party." See also TMBP, 527.03.

15. Pioneer's offer in Exhibit C to make one witness (not both) available from July 14 to July 17 is inadequate. Discovery closed on July 6. Pioneer has not offered Mr. McMannis, an important witness, for his deposition. Moreover, Pioneer's proposal would require Applicant to incur the expense of another trip from Chicago to California.

Pioneer's Discovery Practices

should have been a fairly straightforward case involving an Intent to Use application, Pioneer served, as of the close of discovery, 65 interrogatories, 46 requests for production, and 40 requests for admission. It has deposed two of Applicant's Vice-Presidents, and a 30(b)(6) witness for Hitachi America, Ltd., which is not a party to this action. It has also issued a deposition subpoena to Hitachi Home Electronics, Inc., another non-party, on short notice. It issued subpoenas duces tecum to both of those non-parties. It has also issued a subpoena duces tecum to Applicant. It filed an unsuccessful motion to compel, seeking massive amounts of irrelevant documents even though applicant had made hundreds of boxes of the documents available to it. Its own document production was scant and when the time for Pioneer's depositions came, it failed to produce the witnesses and provided neither a reasonable excuse nor adequate warning of its unilateral cancellation.

Proposed Sanctions

17. For Pioneer to put Applicant through extensive amounts of discovery on largely

irrelevant issues and then fail to provide its own witnesses for depositions calls for a serious

sanction. Pioneer provided no reasonable justification for its failure to appear. The Board would

be within its authority to enter judgment against Pioneer in this Opposition. See, 37 C.F.R.

2.120(g)(1). Since the Board cannot hold Pioneer in contempt, or issue a monetary award to

compensate Applicant for its wasted efforts, dismissal would be an appropriate way to respond to

Pioneer's conduct.

18. Alternatively, the Board can, in the exercise of its authority to control the conduct

of discovery, require Pioneer to produce its 30(b)(6) witness and Mr. McMannis for their

depositions in Chicago at a time that is convenient for Applicant. See 37 CFR 2.121(a)(1);

TBMP 403.04.

19. Finally, the Board should bar Pioneer from any further discovery. The discovery

period has closed and should not be extended to accommodate Pioneer's seemingly insatiable

desire to generate information which is of little or no relevance.

WHEREFORE, for the reasons stated herein, Applicant requests the Board enter

judgment against Pioneer pursuant to the Board's authority to impose sanctions under 37 CFR

2.120(g)(2), and that the matter be dismissed with prejudice. Alternatively, Applicant requests

the Board to impose such other sanctions it may deem appropriate to redress Pioneer's conduct

in this proceeding.

Respectfully submitted,

DAVIS, MANNIX & McGRATH

Dated: 7-7-03

Bv

William T. McGrath Evan D. Brown DAVIS, MANNIX & McGRATH 125 S. Wacker Dr., Suite 1700 Chicago, IL 60606 Telephone: (312) 332-3033

Facsimile: (312) 332-6376 Attorneys for Applicant

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" mailing label number _ . EL947418015US

I hereby certify that the foregoing MOTION FOR SANCTIONS, INCLUDING DISMISSAL, PURSUANT TO 37 CFR §2.120(g)(2) is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service in an envelope addressed to the Assistant Commissioner for Trademarks, 2900 Crystal Drive, Arlington, Virginia 22202-3514, on July 7, 2003.

Evan D. Brown

CERTIFICATE OF SERVICE

This is to certify that on the 7th day of July, 2003, a copy of the foregoing MOTION FOR SANCTIONS, INCLUDING DISMISSAL, PURSUANT TO 37 CFR §2.120(G)(2) was served via overnight courier on counsel for Opposer at the following address:

Robert James Skousen Skousen & Skousen, P.C. 12400 Wilshire Boulevard Suite 900 Los Angeles, CA 90025-1060

Evan D. Brown

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

PIONEER KABUSHIKI KAISHA d/b/a)	
PIONEER CORPORATION,)	
)	Opposition No. 125,458
Opposer,)	-
)	Mark: SUPERSCAN ELITE
v.)	Serial No.: 76/208,230
)	Published: March 19, 2002
NISSEI SANGYO AMERICA, LTD. n/k/a)	
HITACHI HIGH TECHNOLOGIES AMERICA,)	
INC.,)	
Applicant.)	

DECLARATION OF WILLIAM T. McGRATH

DECLARANT, under penalty of perjury, declares that the following statements are true to the best of his knowledge, information and belief:

- 1. I am an attorney licensed to practice law in the State of Illinois. I represent Applicant Hitachi High Technologies America, Inc. in this matter. I am making this Declaration in support of Applicant's Motion for Sanctions.
- 2. On June 5, 2003, our office caused to be served upon counsel for the Opposer, Pioneer Corporation, via overnight delivery, a notice of deposition pursuant to Fed. R. Civ. P. 30(b)(6). On the same date, we caused to be served upon counsel for Opposer, via overnight delivery, a notice of deposition of Craig McMannis, an employee of Pioneer Corporation. The noticed depositions were scheduled for 9:00 a.m. on July 2, 2003, and were to be held at 16890 Ventura Boulevard, Suite 315, Encino, California 91436.
- 3. I spoke with counsel for Pioneer Corporation, Robert J. Skousen, several times during the two weeks prior to the scheduled July 2, 2003 depositions. At least two

times Mr. Skousen orally confirmed that the noticed depositions would occur at the time and place scheduled on July 2, 2003.

- 4. At approximately 4:00 p.m. CST on June 30, 2003, I spoke by telephone with Mark Bush, an attorney from Mr. Skousen's office. During the course of that conversation, I asked Mr. Bush to have Mr. Skousen call me as soon as possible. I related to Mr. Bush that I would be leaving the office that day at about 5:15 p.m. CST, and would be traveling to California the next day. During the conversation, Mr. Bush made no mention of the fact that Pioneer would not produce the witnesses at the scheduled July 2, 2003 depositions. Neither Mr. Bush nor Mr. Skousen called me back before I left my office at approximately 5:15 p.m. CST. At no time before I left my office on June 30 was I given any indication that the depositions might not or would not proceed on July 2 as scheduled.
- 5. I left for California by airplane on the morning of July 1, 2003. Because my flight left first thing in the morning, I did not visit my office prior to leaving. As such, when I left for California, I was unaware of the fact that Mr. Skousen had, the evening before, sent to my office a faxed letter stating that Pioneer "will not be attending the depositions scheduled for July 2, 2003."
- 6. After arriving in Los Angeles on July 1, 2003, I learned of the cancellation of the depositions and spoke by telephone twice to Mr. Skousen. In each of these conversations I insisted that the depositions proceed on July 2. Mr. Skousen stated he did not learn of the unavailability of the witnesses until approximately 4:30 p.m. PST on June 30, 2003. Mr. Skousen represented unequivocally that Pioneer would not be producing any witnesses at the deposition, stating that the witnesses were "out of the state." No

reasonable explanation was provided as to why they were out of state on the day their depositions were scheduled, or why Pioneer did not inform anyone about this unavailability sooner.

7. On July 2, 2003, at the time and place scheduled for the depositions, I appeared. While Mr. Skousen was present, neither Mr. McMannis nor Pioneer's 30(b)(6) designee appeared.

Dated: July 7, 2003

William T. McGrath

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

PIONEER KABUSHIKI KAISHA d/b/a)	
PIONEER CORPORATION,)	
)	Opposition No. 125,458
Opposer,)	•
v .)	Mark: SUPERSCAN ELITE
,)	Serial No.: 76/208,230
NISSEI SANGYO AMERICA, LTD. n/k/a)	Published: March 19, 2002
HITACHI HIGH TECHNOLOGIES AMERICA,)	•
INC.,)	
Applicant.)	

NOTICE OF DEPOSITION

TO: Craig McMannis c/o Skousen & Skousen 12400 Wilshire Boulevard, Suite 900 Los Angeles, California 90025-1060

Please take notice that at 9:00 a.m. on July 2, 2003 at First Financial Plaza, 16890 Venture Boulevard, Suite 315, Encino, California 91436. Applicant Hitachi High Technologies America, Inc. ("Hitachi") will take the deposition of Craig McMannis. The deposition will take place pursuant to the applicable statutes and rules of procedure, and before a notary public or other person authorized to administer oaths.

Nissei Sangyo America, Ltd., n/k/a Hitachi High Technologies America, Inc.

Date: June 5, 2003

By One of Its Attorneys

William T. McGrath
Stephen A. Gorman
Evan D. Brown
DAVIS, MANNIX & McGRATH
125 South Wacker Drive, Suite 1700
Chicago, Illinois 60606-4402
(312) 332-3033 (phone)
(312) 332-6376 (fax)



CERTIFICATE OF SERVICE

I hereby certify that a true and correct copy of the foregoing NOTICE OF DEPOSITION is being sent via overnight courier, in an envelope addressed to Robert J. Skousen, Esq., SKOUSEN & SKOUSEN, 12400 Wilshire Blvd., Suite 900, Los Angeles, California 90025-1060 on June 5, 2003.

Evan D. Brown

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

Contraction		UP
125,	458	

)	E E Seen See
)	
)	Opposition No. 125,458
)	
)	Mark: SUPERSCAN ELITE
)	Serial No.: 76/208,230
)	Published: March 19, 2002
)	
)	
)	
)	
))))))))

NOTICE OF DEPOSITION PURSUANT TO FED. R. CIV. P. 30(b)(6)

TO: Pioneer Kabushiki Kaisha d/b/a Pioneer Corporation c/o Skousen & Skousen
12400 Wilshire Boulevard, Suite 900
Los Angeles, California 90025-1060

Please take notice that at 9:00 a.m. on July 2, 2003 at First Financial Plaza, 16890 Venture Boulevard, Suite 315, Encino, California 91436. Applicant Hitachi High Technologies America, Inc. ("Hitachi") will take the deposition of Opposer Pioneer Kabushiki Kaisha d/b/a Pioneer Corporation ("Pioneer").

Pursuant to Fed. R. Civ. P. 30(b)(6) and 37 C.F.R. 2.120(b), Opposer is hereby requested to designate and produce a person to testify on behalf of Opposer regarding the following matters:

- (a) Any likelihood of confusion or actual confusion between the marks that are the subject of this Opposition;
- (b) All goods sold by Pioneer under the mark ELITE.
- (c) The manner of the use by Pioneer of the mark ELITE.



(d) All advertising of the mark ELITE by Pioneer.

(e) Channels of trade through which Pioneer has sold products under the

ELITE mark.

(f) Conditions under which sales of ELITE products have been made.

(g) Pioneer's knowledge of Applicant's SUPERSCAN ELITE application and

registration for computer monitors.

(h) Conditions under which sales of ELITE products have been made.

(i) Use, sale, and advertising of plasma or other Pioneer monitors as

computer monitors.

(j) Complaints received by Pioneer since 1998 regarding ELITE products.

(k) The distribution chain of Pioneer ELITE products from manufacturer to

the ultimate consumer.

(l) The types of stores in which Pioneer sells ELITE products.

The deposition will take place pursuant to the applicable statutes and rules of

procedure, and before a notary public or other person authorized to administer oaths.

Nissei Sangyo America, Ltd., n/k/a Hitachi High Technologies America, Inc.

Date: June 5, 2003

By One of Its Attorneys

William T. McGrath

Stephen A. Gorman

Evan D. Brown

DAVIS, MANNIX & McGRATH

125 South Wacker Drive, Suite 1700

Chicago, Illinois 60606-4402

(312) 332-3033 (phone)

(312) 332-6376 (fax)

CERTIFICATE OF SERVICE

I hereby certify that a true and correct copy of the foregoing NOTICE OF DEPOSITION PURSUANT TO FED. R. CIV. P. 30(b)(6) is being sent via overnight courier, in an envelope addressed to Robert J. Skousen, Esq., SKOUSEN & SKOUSEN, 12400 Wilshire Blvd., Suite 900, Los Angeles, California 90025-1060 on June 5, 2003.

Evan D. Brown

SKOUSEN & SKOUSEN

A Professional Corporation
SUITE 900
12400 WILSHIRE BOULEVARD
LOS ANGELES, CALIFORNIA 90025-1060
TELEPHONE: (310) 277-0444
TELECOPIER: (310) 782-9579

FACSIMILE TRANSMITTAL

DATE:

June 30, 2003

TO:

William T. McGrath, Esq. Davis, Mannix, & McGrath

FAX NO:

(312) 332-6376

FROM:

Skousen & Skousen

RE:

Pioneer Corp. v. Nissei Sangyo America, Ltd.

[X]	Original	by U.S. Mail	Ţ]	Please Contact me
[]	For your	information	[]	Please read and advise me how to reply
{]	Copy Via	Electronic Mail	[]	For your review and comments

THE INFORMATION CONTAINED IN THIS FACSIMILE MESSAGE I3 PRIVILEGED AND CONFIDENTIAL INFORMATION ONLY FOR THE USE OF THE INTENDED RECIPIENT NAMED ABOVE. IF YOU ARE NOT THE INTENDED FECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY COPYING OF THIS COMMUNICATION OR DISSEMINATION OR DISTRIBUTION OF IT TO ANYONE OTHER I HAN THE INTENDED RECIPIENT IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE IMMEDIATELY NOTIFY US BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US AT THE ABOVE ADDRESS VIA U.S. MAIL.

WE ARE TRANSMITTING 2 PAGES (including this cover sheet). IF TRANSMISSION IS NOT COMPLETE, PLEASE CALL (310) 277-(444. THANK YOU.



F

SKOUSEN & SKOUSEN
A PROFESSIONAL CORPORATION
SUITE 900
12400 WILSHIRE BOULEVARD
LOS ANGELES, CALIFORNIA 90025-1060
TELEPHONE (310) 277-0444
TELECOPIER (310) 782-9579

June 30, 2003

Via Facsimile (312) 332-6376 & U.S. Mail

William T. McGrath, Esq. Davis, Mannix & McGrath 125 South Wacker Drive, Suite 1700 Chicago, Illinois 60606

RE: <u>Pioneer Corp. v. Hitachi High Technologies America. Inc.</u>
TTAB Opposition Number 125,458
Cancellation of Depositions

Dear Mr. McGrath:

I have been informed by my client that Pioneer's 30(b)(6) designee, Rt is Johnston, is now unavailable on July 2, 2003. In addition, we have not received the additional documents responsive to your production demands from our client. We, therefore, will not be attending the depositions scheduled for July 2, 2003. Accordingly, we suggest the following dates when Russ Johnston is available: July 14th, 15th, 16th, and 17th, 2003. Please advise us immediately which of these dates you intend to utilize for taking Mr. Johnston's deposition.

Very truly yours,

SKOUSEN & SKOUSEN A Professional Corporation

Robert James Skousen

mhb:RJS

Receive Log

Statu.	AtType Pi	Name	Date	Pages	Phone Number/CallerId
Comi	Fax F	847 255 1298	Tue 7/1/2003 9:11 AM	37	
Com	Fax F	9723874429	Tue 7/1/2003 8:59 AM	33	
Com	Fax F		Tue 7/1/2003 8:49 AM	3	
Com	Fax F	13122360917	Tue 7/1/2003 4:30 AM	3	
Com	Fax F	**	Mon 6/30/2003 9:30 PM	س 1	
Com	Fax F		Mon 6/30/2003 7:07 PM	2	*
Com	Fax F		Mon 6/30/2003 5:17 PM	3	
Com	Fax F		Mon 6/30/2003 5:10 PM	2	
Com	Fax F		Mon 6/30/2003 4:33 PM	2	
Com	Fax F	3125635467	Mon 6/30/2003 4:29 PM	4	
Comj	Fax F	2633637	Mon 6/30/2003 4:26 PM	2	
Comj	Fax F	312 902 9900	Mon 6/30/2003 4:20 PM	2	
Com	Fax F	773 847 0185	Mon 6/30/2003 4:09 PM	19	
Com	Fax F	8479819035	Mon 6/30/2003 3:57 PM	2	
Com	Fax F		Mon 6/30/2003 3:48 PM	2	
Com	Fax F		Mon 6/30/2003 3:25 PM	3	
Com	Fax F	3035723400	Mon 6/30/2003 2:26 PM	4	
Com	Fax F	9727020173	Mon 6/30/2003 1:57 PM	2	
Com	Fax F	7082105712	Mon 6/30/2003 1:54 PM	3	
Com	Fax F		Mon 6/30/2003 1:05 PM	4	
Com	Fax F	1 773 523 9216	Mon 6/30/2003 10:48 AM	2	
Com	Fax F	Winston & Strawn	Mon 6/30/2003 10:06 AM	7	
Com	Fax F		Mon 6/30/2003 9:56 AM	3	
Com	Fax F	9363274940	Mon 6/30/2003 9:48 AM	1	
Com	Fax F	9 312 886 1341	Mon 6/30/2003 9:41 AM	3	
Com	Fax F	312 332 6376	Mon 6/30/2003 8:54 AM	1	
Com	Fax F		Fri 6/27/2003 6:36 PM	13	
Com	Fax F	9 312 886 1341	Fri 6/27/2003 4:22 PM	3	
Com	Fax F	3122368710	Fri 6/27/2003 4:13 PM	2	
Com	Fax F		Fri 6/27/2003 4:08 PM	3	
Com	Fax F	312 558 5700	Fri 6/27/2003 4:06 PM	2	
Com	Fax F	412 824 6732	Fri 6/27/2003 4:01 PM	9	
Com	Fax F	312 332 6376	Fri 6/27/2003 3:59 PM	1	
Com	Fax F		Fri 6/27/2003 3:19 PM	2	
Com	Fax F	17085620768	Fri 6/27/2003 2:27 PM	3	
Comp	Fax F	847 402 9679	Fri 6/27/2003 2:16 PM	3	
Com	Fax F	Fax	Fri 6/27/2003 1:56 PM	2	
Com	Fax F		Fri 6/27/2003 1:16 PM	9	
Com	Fax F		Fri 6/27/2003 1:08 PM	7	
Com	Fax F	3125730807	Fri 6/27/2003 1:01 PM	7	
Com	Fax F	8479269872	Fri 6/27/2003 12:59 PM	2	
Com	Fax F	3128190404	Fri 6/27/2003 11:13 AM	7	
Comi	Fax F	847 699 1593	Fri 6/27/2003 10:42 AM	3	



1	IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
2	BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD
3	
4	
5	PIONEER KABUSHIKI KAISHA) d/b/a PIONEER CORPORATION,)
6) Opposer,)
7) Opposition No.: 125,468 vs.
8) NISSEI SANGYO AMERICA, LTD.,) Affidavit of
9) Nonappearance) of Witness
10	Applicant.)
11	
12	
13	
14	
15	
16	AFFIDAVIT OF NON-APPEARANCE:
17	CRAIG McMANNIS
18	WEDNESDAY, JULY 2, 2003
19	9:12 A.M.
20	
21	
22	
23	REPORTED BY:
24	MARJORIE H. CROSBY
25	CSR NO. 8580, RMR



1 Nonappearance of CRAIG McMANNIS, the witness, to 2 be taken on behalf of the Applicant, HITACHI HIGH 3 TECHNOLOGIES AMERICA, on Wednesday, July 2, 2003, at 9:12 a.m., at 16830 Ventura Boulevard, Suite 315, Encino, California, before Marjorie H. Crosby, CSR No. 8580, RMR. 6 7 8 APPEARANCES OF COUNSEL: 9 FOR APPLICANT: 10 11 DAVIS, MANNIX & McGRATH 12 BY: WILLIAM T. McGRATH, ESQ. 13 125 South Wacker Drive 14 Suite 1700 15 Chicago, Illinois 60606 16 (312) 332-4748 17 18 FOR OPPOSER: 19 SKOUSEN & SKOUSEN 20 BY: ROBERT JAMES SKOUSEN, ESQ. 21 12400 Wilshire Boulevard 22 Suite 900 23 Los Angeles, California 90025-1060

(310) 277-0444

24

1			ΕX	HIBI	тS	
2	DEPOSITIO	ON	D	ESCRIPT	ION	PAGE
3	1	Сору	of Dep	osition	Notice	5
4						
5						
6						
7						
8						
9						
10						
11						
12						
13						
14						
15						
16						
17						
18						
19						
20						
21						
22						
23						
24						

- 1 STATE OF CALIFORNIA)
- 2) SS
- 3 COUNTY OF LOS ANGELES)

- 5 I, MARJORIE CROSBY, CSR No. 8580, Certified
- 6 Shorthand Reporter in and for the State of Califonia,
- 7 do hereby certify:
- 8 That at the request of WILLIAM T. McGRATH,
- 9 ESQ. of the law firm of DAVIS, MANNIX & McGRATH,
- 10 attorneys for the Applicant HITACHI HIGH
- 11 TECHNOLOGIES, INC., in the above-entitled action, I
- 12 appeared at 16830 Ventura Boulevard, Suite No. 315,
- 13 Encino, California, at 9:12 a.m., on Wednesday, July
- 14 2, 2003, for the purpose of administering the oath to
- and reporting the deposition of CRAIG McMANNIS;
- 16 That at the hour of 9:12 a.m., being that it
- 17 was evident that no appearance would be made by the
- 18 witness CRAIG McMANNIS, the following record was
- 19 made:
- 20 MR. McGRATH: Good morning. I am William T.
- 21 McGrath, counsel for Hitachi High Technologies
- 22 America, Inc., in the above-captioned opposition
- 23 proceeding.
- 24 This is the deposition of Mr. Craig
- 25 McMannis, an employee of the opposer Pioneer

- 1 Corporation, formal name of the company is Pioneer
- 2 Kabushiki Kaisha doing business as Pioneer
- 3 Corporation.
- 4 The date is July 2, 2003 and the time is
- 5 9:12 a.m. This deposition is taking place at the
- 6 offices of Legalink court reporting services, 16830
- 7 Ventura Boulevard, Encino, California.
- 8 A notice of this deposition was served on
- 9 counsel for Pioneer Corporation, Robert J. Skousen,
- 10 at his Los Angeles offices by overnight delivery on
- 11 June 5, 2003. A copy of the deposition notice is
- 12 being placed in the record as Exhibit 1.
- 13 (The document referred to was marked as
- Deposition Exhibit 1 for identification.)
- MR. McGRATH: Mr. Skousen was fully aware
- 16 that the deposition was scheduled to take place today
- 17 at this place and time and confirmed that to me
- 18 orally last week.
- 19 In addition, a subpoena issued under Federal
- 20 Rule of Civil Procedure 45 was served on Pioneer's
- 21 counsel yesterday concerning Mr. McMannis's
- 22 deposition. Nevertheless, Mr. Skousen stated to me
- 23 in a telephone conversation yesterday after I had
- 24 arrived in California that Mr. McMannis would not
- 25 appear for this deposition today.

- 1 I stated to Mr. Skousen we intended to
- 2 proceed with this deposition this morning and that he
- 3 should appear with Mr. McMannis. Mr. Skousen is here
- 4 this morning but he's advised me that Mr. McMannis
- 5 will not appear.
- 6 Consequently, since the witness will not
- 7 appear, this deposition is concluded. Hitachi High
- 8 Technologies America reserves the right to reconvene
- 9 the deposition at another time and place and reserves
- 10 the right to seek all available remedies for
- 11 Mr. McMannis's failure to appear, including dismissal
- 12 of the opposition proceeding and contempt of court.
- 13 I would ask the court reporter to mark the
- 14 Notice of Deposition as Exhibit 1. That's all I
- 15 have.
- 16 Do you want to add anything to the record?
- 17 MR. SKOUSEN: I will echo my comments I
- 18 previously made and that is Mr. McMannis is no longer
- 19 in the position at the company where he would be the
- 20 designee under 30(b)6. Mr. Johnston would serve that
- 21 function but Mr. Johnston is not available and I was
- 22 informed on Monday at 4:30 that Mr. Johnston would
- 23 not be available on July 2nd, however, Mr. Johnston
- 24 is available on July 14th.
- MR. McGRATH: Mr. McMannis?

1	MR. SKOUSEN: No, Mr. Johnston.
2	Mr. Johnston is available on the 14th, 15th,
3	16th and 17th of July to take his deposition.
4	***
5	At the hour of 9:14 a.m., I departed without
6	having taken the deposition of said witness.
7	I hereby certify that I am not counsel to
8	any of the parties herein nor in any manner
9	interested in the outcome of such action.
10	In witness whereof, I have hereunto
11	subscribed my name this 2nd day of July, 2003.
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	MARJORIE H. CROSBY
22	CA CSR 8580, RMR, RPR
23	
24	
25	

1	IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
2	BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD
3	
4	
5	PIONEER KABUSHIKI KAISHA) d/b/a PIONEER CORPORATION,)
6	Opposer,)
7) Opposition No.: 125,468
8	vs.)
9	NISSEI SANGYO AMERICA, LTD.,) Affidavit of) Nonappearance
10) of Witness Applicant.)
11	
12	
13	
14	
15	
16	AFFIDAVIT OF NON-APPEARANCE:
17	RUSSELL JOHNSTON
18	WEDNESDAY, JULY 2, 2003
19	9:07 A.M.
20	
21	
22	
23	REPORTED BY:
24	MARJORIE H. CROSBY
25	CSR NO. 8580, RMR



be taken on behalf of the Applicant, HITACHI HIGH 2 3 TECHNOLOGIES, INC., on Wednesday, July 2, 2003, at 9:07 a.m., at 16830 Ventura Boulevard, Suite 315, 5 Encino, California, before Marjorie H. Crosby, CSR No. 8580, RMR. 7 APPEARANCES OF COUNSEL: 9 10 FOR APPLICANT: 11 DAVIS, MANNIX & McGRATH 12 BY: WILLIAM T. McGRATH, ESQ. 13 125 South Wacker Drive 14 Suite 1700 15 Chicago, Illinois 60606 16 (312) 332-4748 17 18 FOR OPPOSER: 19 SKOUSEN & SKOUSEN BY: ROBERT JAMES SKOUSEN, ESQ. 20 21 12400 Wilshire Boulevard 22 Suite 900 23 Los Angeles, California 90025-1060

(310) 277-0444

Nonappearance of RUSSELL JOHNSTON, the witness, to

1

24

25

1			F	EXHI	BITS		
2	DEPOSITI	ON		DESCI	RIPTION		PAGE
3	1	Сору	of	30(b)6	deposition	notice	5
4							
5							
6							
7							
8							
9							
10							
11							
12							
13							
14							
15							
16							
17							
18							
19							
20							
21							
22							
23							
24							

- 1 STATE OF CALIFORNIA)
- 2) SS
- 3 COUNTY OF LOS ANGELES)

- 5 I, MARJORIE CROSBY, CSR No. 8580, Certified
- 6 Shorthand Reporter in and for the State of Califonia,
- 7 do hereby certify:
- 8 That at the request of WILLIAM T. McGRATH,
- 9 ESQ. of the law firm of DAVIS, MANNIX & McGRATH,
- 10 attorneys for the Applicant HITACHI HIGH TECHNOLOGIES
- 11 AMERICA, INC., in the above-entitled action, I
- 12 appeared at 16830 Ventura Boulevard, Suite No. 315,
- 13 Encino, California, at 9:07 a.m., on Wednesday, July
- 14 2, 2003, for the purpose of administering the oath to
- 15 and reporting the deposition of RUSSELL JOHNSTON;
- 16 That at the hour of 9:07 a.m., being that it
- 17 was evident that no appearance would be made by the
- 18 witness RUSSELL JOHNSTON, the following record was
- 19 made:
- 20 MR. McGRATH: Good morning. I am William T.
- 21 McGrath, counsel for Hitachi High Technologies
- 22 America, Inc. in the above-captioned opposition
- 23 proceeding. This is the deposition of opposer
- 24 Pioneer Kabushiki Kaisha, doing business as Pioneer
- 25 Corporation, pursuant to Federal Rule of Civil of

- 1 Procedure 30(b)6.
- 2 The date is July 2, 2003 and the time is
- 3 9:07. The deposition is taking place at the offices
- 4 of Legalink Court Reporting Services, 16830 Ventura
- 5 Boulevard, Encino, California.
- 6 A notice of this deposition was served on
- 7 counsel for Pioneer Corporation, Robert J. Skousen,
- 8 at his offices in Los Angeles by overnight delivery
- 9 on June 5, 2003. A copy of the 30(b)6 deposition
- 10 notice will be placed in the record as Exhibit 1.
- 11 Mr. Skousen was fully aware that the
- 12 deposition was scheduled to take place today at this
- 13 place and time and confirmed to me orally last week
- 14 that fact. In fact, Mr. Skousen is here today.
- 15 In addition, a subpoena issued under Federal
- 16 Rule of Civil Procedure 45 was issued and served on
- 17 Pioneer's counsel yesterday, I believe.
- 18 Nevertheless, Mr. Skousen has stated to me
- 19 yesterday in a telephone conversation after I had
- 20 arrived in California that Pioneer Corporation would
- 21 not appear for this deposition today. I stated to
- 22 Mr. Skousen that we intended to proceed with this
- 23 deposition this morning and that he should appear
- with Pioneer's 30(b)6 designee.
- Mr. Skousen is here this morning but he has

- 1 advised me that there is no 30(b)6 designated witness
- 2 for Pioneer.
- 3 Since a witness for Pioneer has not
- 4 appeared, subject to any additional comments for the
- 5 record by Mr. Skousen, the deposition is hereby
- 6 concluded.
- 7 Hitachi High Technologies America reserves
- 8 the right to reconvene the deposition at another time
- 9 and place, and reserves the right to seek all
- 10 available remedies for Pioneer's failure to appear
- 11 including dismissal and contempt of court.
- 12 With that I would ask you to mark this as
- 13 Exhibit 1.
- 14 (The document referred to was marked as
- Deposition Exhibit 1 for identification.)
- MR. McGRATH: Bob, did you want to add
- 17 anything to the record?
- 18 MR. SKOUSEN: I just want to say on Monday
- 19 at about 4:30 I was informed that Pioneer's designee
- 20 for this 30(b)6 deposition, Russell Johnston, would
- 21 not be available to appear today, July 2nd, however,
- would be available July 14th, 15th, 16th or 17th.
- 23 Although we did send a letter to Mr. McGrath
- 24 it arrived after Mr. McGrath had left his office on
- 25 Monday and then he was in transit on Tuesday, and I

Т	did speak with him yesterday and informed him by
2	phone as well that Pioneer would not be appearing
3	today but would be available on July 14th, 15th, 16th
4	and 17th.
5	***
6	At the hour of 9:10 a.m., I departed without
7	having taken the deposition of said witness.
8	I hereby certify that I am not counsel to
9	any of the parties herein nor in any manner
10	interested in the outcome of such action.
11	In witness whereof, I have hereunto
12	subscribed my name this 2nd day of July, 2003.
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	MARJORIE H. CROSBY
23	CA CSR 8580, RMR, RPR
24	

TTAB

DAVIS, MANNIX & McGRATH

ATTORNEYS AT LAW 125 SOUTH WACKER DRIVE SUITE 1700 CHICAGO, ILLINOIS 60606-4402 (312) 332-3033

EVAN D. BROWN ebrown@dmmlaw.com

FAX: (312) 332-6376

July 7, 2003

07-08-2003

U.S. Patent & TMOfc/TM Mail Rcpt Dt. #11

Commissioner for Trademarks 2900 Crystal Drive Arlington, VA 22202-3514

RE: Pioneer Corp. v. Hitachi High Technologies America, Inc.

Opposition No. 125,458

Dear Sir or Madam:

Please find enclosed the following documents:

- 1. MOTION FOR SANCTIONS, INCLUDING DISMISSAL, PURSUANT TO 37 CFR 2.120(G)(2) with Exhibits;
- 2. Postcard for confirmation of your receipt of this correspondence.

Sincerely,

DAVIS, MANNIX & McGRATH

Brown

Evan D. Brown

Enclosures EDB:st